# Cobordism Theory and the Signature Theorem

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#### Thursday, 22 September, 2011.

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Surgery Theory Group

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Characteristic classes are cohomology classes. Here we are going to consider four important types of characteristic classes, namely:

Characteristic class	Notation	Vector bundle $E \longrightarrow B$
Stiefel-Whitney classes	$w_i(\xi) \in \mathcal{H}^i(\mathcal{B}(\xi);\mathbb{Z}_2)$ real vector bundle	
Chern classes	$c_i(\xi)\in H^{2i}(B(\xi);\mathbb{Z})$	complex vector bundle
Pontrjagin classes	$p_i(\xi)\in H^{4i}(B(\xi);\mathbb{Z})$	real vector bundle
Euler class	$e(\xi)\in H^n(B(\xi);\mathbb{Z})$	Oriented, $n - dim$ , real vector bundle

What is a characteristic class?

Every cohomology class  $c \in H^*(BG; R)$  determines a characteristic class of principle *G*-bundles over the base space M. Infinite Grassmann manifolds  $Gr(n, \infty)$  are classifying spaces for linear groups.

If  $f: \xi \longrightarrow \gamma_n$  is any bundle map, then this map induces a unique homotopy class of maps of base spaces.

We can also write  $f_{\xi}^*(\gamma_n) = \xi$ . So if  $c(\gamma_n) \in H^*(BO_n; R)$ , then this cohomology class can be pulled back by the map  $f_{\xi}^*$  so that,

$$f_{\xi}^* c(\gamma_n) = c(f_{\xi}^*(\gamma_n)) = c(\xi) \in H^*(M; R).$$

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(a) **Existence axiom:** For each real vector bundle  $\xi$  there exists a sequence of Stiefel-Whitney cohomology classes

 $w_i(\xi) \in H^i(B(\xi); \mathbb{Z}_2)$ , where i = 0, 1, ...

For i = 0, the Stiefel-Whitney class is the unit element in  $H^0(B(\xi); \mathbb{Z}_2)$ .

(b) Naturality axiom: Let f\*(ξ) be a pullback bundle of the bundle ξ, then,

$$w_i(f^*(\xi)) = f^*(w_i(\xi)).$$

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(c) The Whitney product axiom: Let  $\xi$  and  $\xi'$  be two different vector bundles over the same base space B, then,

$$w_1(\xi \oplus \xi') = w_1(\xi) + w_1(\xi'),$$

$$w_2(\xi \oplus \xi') = w_2(\xi) + w_1(\xi)w_1(\xi') + w_2(\xi'),$$

and, in general, 
$$w_k(\xi \oplus \xi') = \sum_{i=0} w_i(\xi) \cup w_{k-i}(\xi').$$

(d)  $w_i(\xi)$  as a generator of  $H^i(\mathbb{R}P^{\infty}; \mathbb{Z}_2)$ : For the line bundle  $E \to \mathbb{R}P^{\infty}$ ,  $w_i(\xi)$  is a generator of  $H^i(\mathbb{R}P^{\infty}; \mathbb{Z}_2)$ .

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The cohomology ring  $H^*(BO_n; \mathbb{Z}/2)$  is,

$$H^*(BO_n; \mathbb{Z}_2) = \mathbb{Z}_2[w_1(\gamma^n), \ldots, w_n(\gamma^n)].$$

Note that there are no polynomial relations between the generating Stiefel-Whitney classes  $w_1(\gamma^n), \ldots, w_n(\gamma^n)$ .

The cohomology ring  $H^*(BSO_n; \mathbb{Z}/2)$  of is,

 $H^*(BSO_n; \mathbb{Z}_2) = \mathbb{Z}_2[w_1(\tilde{\gamma}^n), \dots, w_n(\tilde{\gamma}^n)].$ 

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### Definition

Stiefel-Whitney numbers Let  $I = (i_1, ..., i_r)$  be any partition of n, and  $\tau$  be the tangent bundle of  $M^n$ . Then the Stiefel-Whitney number of M associated with the monomial  $w_{i_1}(\tau) ... w_{1_r}(\tau)$  is given by,

$$\left\langle \textit{\textbf{w}}_{\textit{i}_{1}}( au) \ldots \textit{\textbf{w}}_{\textit{i}_{r}}( au), \mu_{\textit{M}} 
ight
angle = \textit{\textbf{w}}_{\textit{i}_{1}} \ldots \textit{\textbf{w}}_{\textit{i}_{r}}[\textit{M}^{\textit{n}}] \in \mathbb{Z}_{2}.$$

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- If  $M^n$  is the boundary of an (n + 1)-dimensional manifold, then the Stiefel-Whitney numbers of M are all zero.
- Conversely, if all the Stiefel-Whitney numbers of a manifold are zero, then *M* is a boundary.

Let M and N be two closed, smooth, n-dimensional manifolds, then M and N belong to the same cobordism class if and only if their Stiefel-Whitney numbers are equal.

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### Pontryagin classes

Pontryagin classes are cohomology classes:  $p_i(\xi) \in H^{4i}(B; \mathbb{Z})$ .

The *i*-th Pontryagin class  $p_i(\xi) \in H^{4i}(B; \mathbb{Z})$  is defined in terms of Chern classes as

$$p_i(\xi) = (-1)^i c_{2i}(\xi \otimes \mathbb{C}).$$

The total Pontryagin class is defined as,

$$p(\xi) = 1 + p_1(\xi) + \dots + p_{[n/2]}(\xi).$$

This class is a unit in the cohomology ring  $H^*(B; \mathbb{Z})$ .

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Pontryagin classes satisfy certain properties:

(a) The naturality property is satisfied. That is, if  $f^*(\xi)$  be a pullback bundle of the bundle  $\xi$ , then,

$$p_i(f^*(\xi)) = f^*(p_i(\xi)).$$

(b) If  $e^n$  is a trivial *n*-plane bundle, then  $p(\xi \oplus e^n) = p(\xi)$ .

(c) A product formula for  $p(\xi \oplus \nu)$  in the case of Pontryagin classes is,

 $p(\xi \oplus \nu) \equiv p(\xi)p(\nu) \mod (\text{elements of order 2}),$ 

or equivalently,  $2p(\xi \oplus \nu) = 2p(\xi)p(\nu)$ .

(d) Let  $\xi$  be a 2*n*-plane vector bundle, then

$$p_n(\xi) = e^2(\xi).$$

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#### Pontryagin numbers

Let  $M^{4n}$  be a smooth, compact, oriented manifold with tangent bundle denoted by  $\tau^{4n}$ , and let  $I = i_1, \ldots, i_r$  be a partition of n, then the *I*-th Pontryagin number is an integer defined as

$$p_{l}[M^{4n}] = p_{i_{1}} \dots p_{i_{r}}[M^{4n}] = \left\langle p_{i_{1}}(\tau^{4n}) \dots p_{i_{r}}(\tau^{4n}), \mu_{4n} \right\rangle.$$

where  $\mu_{4n} \in H^{4n}(M^{4n}; \mathbb{Z})$  is the fundamental or orientation class of the manifold  $M^{4n}$ .

### Pontryagin numbers projective space

The Pontryagin numbers of complex projective spaces  $\mathbb{C}P^{2n}$ . The complex manifold  $\mathbb{C}P^{2n}$  has real dimension 4n, so if  $i_1, \ldots, i_r$  is a partition of n, then

$$p_{i_1} \dots p_{i_r}[\mathbb{C}P^{2n}] = \left( egin{array}{c} 2n+1 \\ i_1 \end{array} 
ight) \dots \left( egin{array}{c} 2n+1 \\ i_r \end{array} 
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A relevant issue in the context of oriented cobordism is to consider how Pontryagin classes and Pontryagin numbers may change when the orientation of the manifold is reversed. If we reverse the orientation of a manifold  $M^{4n}$ :

- Pontryagin classes remain unchanged,
- Pontryagin numbers change sign.

As a consequence if some Pontryagin number is non-zero then  $M^{4n}$  does not posses any orientation reversing diffeomorphism.

The Pontryagin numbers of  $\mathbb{C}P^{2n}$  are non-zero. Consequently,  $\mathbb{C}P^{2n}$  does **not** have any orientation reversing diffeomorphism.

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# Pontryangin numbers and Cobordism

If some Pontryagin number of a manifold  $M^{4n}$  is non-zero, then  $M^{4n}$  is not the boundary of a smooth, compact oriented (4n + 1)-dimensional manifold.

The Pontryagin numbers of  $\mathbb{C}P^{2n}$  are non-zero, so that  $\mathbb{C}P^{2n}$  cannot be an oriented boundary

Consequences :

The oriented cobordism group  $\Omega_n$  is finite for  $n \neq 4k$ , and is a finitely generated group with rank equal to p(k), the number of partitions of k, when n = 4k.

The tensor product  $\Omega_* \otimes \mathbb{Q}$  is a polynomial algebra over  $\mathbb{Q}$  with independent generators  $\mathbb{C}P^2, \mathbb{C}P^4, \ldots, .$ 

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# Computation of Cobordism groups

n	N <sub>n</sub>	$\Omega_n\otimes\mathbb{Q}$	$\Omega_n$
0	$\mathbb{Z}_2$	Q	$\mathbb{Z}$
1	0	0	0
2	$\mathbb{Z}_2$	0	0
3	0	0	0
4	$(\mathbb{Z}_{2})^{2}$	Q	$\mathbb{Z}$
5	$\mathbb{Z}_2$	0	$\mathbb{Z}_2$
6	$(\mathbb{Z}_{2})^{3}$	0	0
7	$\mathbb{Z}_2$	0	0
8	$(\mathbb{Z}_{2})^{5}$	(Q) <sup>2</sup>	$(\mathbb{Z})^2$
9	$(\mathbb{Z}_2)^3$	0	$(\mathbb{Z}_{2})^{2}$
10	(ℤ₂) <sup>8</sup>	0	$\mathbb{Z}_2$
11	$(\mathbb{Z}_2)^4$	0	$\mathbb{Z}_2$
12	$(\mathbb{Z}_2)^7$	$(\mathbb{Q})^3$	$(\mathbb{Z}_2)^3$
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## Break!

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Let A be a commutative ring consisting of all formal sums  $a_0 + a_1 + a_2 + \dots$ 

Consider a sequence of polynomials denoted by

$$K_1(x_1), K_2(x_1, x_2), K_3(x_1, x_2, x_3), \ldots,$$

Each of the  $x_i$  is assigned degree  $i \Longrightarrow K_n(x_1, x_2, ..., x_n)$  is a polynomial homogeneous of degree.

For each element in the ring  $\mathcal{A}$  with leading term 1, i.e.,  $a = 1 + a_1 + a_2 + \cdots \in \mathcal{A}$ , we define  $K(a) \in \mathcal{A}$  by,

$$K(a) = 1 + K_1(a_1) + K_2(a_1, a_2) + K_3(a_1, a_2, a_3) + \dots$$

### Definition

The polynomials  $K_n$  form a **multiplicative sequence** if for  $a, b \in A$  with leading term 1, the relation

K(ab)=K(a)K(b),

is satisfied.

Multiplicative sequences can be used to define the relations between characteristic classes.

These sequences are in one-one relation to certain formal power series.

#### power series and multiplicative sequence

Let  $f(t) = 1 + \lambda_1 t + \lambda_2 t^2 + ...$  be a formal power series with coefficients in  $\mathbb{Q}$ , then there exists one multiplicative sequence  $K_n$  such that the coefficient of  $x_1^n$  in each polynomial  $K_n(x_1, ..., x_n)$  is  $\lambda_n$ .

Note that this is the same as saying that K(1 + t) = f(t).

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### Genus

Let  $K_n(x_1,...,x_n)$  be a multiplicative sequence, then we define the **K** – **genus**,  $K[M^n]$ , as follows,

(i) If 
$$n \neq 4k$$
 then  $K[M^n] = 0$ ,

(ii) If 
$$n = 4k$$
, then  $K_n[M^{4k}] = \langle K_n(p_1, \dots, p_n), [M^{4k}] \rangle \in \mathbb{Q}$ .

where  $p_i$  denotes the *i*th-Pontryagin class.

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### Towards the definition of the L – genus

Consider the formal power series

$$f(t) = \sqrt{t}/{tanh\sqrt{t}}$$

$$= 1 + \frac{1}{3}t - \frac{1}{45}t^2 + \frac{1}{945}t^3 - \dots + (-1)^{k-1}2^{2k}B_kt^k/(2k)! + \dots$$

where  $B_k$  is the *k*-th Bernoulli number.

• Also consider the multiplicative sequence such that the coefficient of  $p_1^n$  in each polynomial  $L_n(p_1, \ldots, p_n)$  is given by the coefficient of  $t^n$  in  $f(t) = \sqrt{t}/\tanh\sqrt{t}$ .

# Hirzebruch Signature Theorem

The L – Genus

## The *L* – *genus*

The **L** – **genus**,  $L[M^n]$  is defined as

(i) If 
$$n \neq 4k$$
 then  $L[M^n] = 0$ ,  
(ii) If  $n = 4k$ , then  $L_n[M^{4k}] = \langle L_n(p_1, ..., p_n), [M^{4k}] \rangle \in \mathbb{Q}$ .

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Following the above definition, we can write several *L*-polynomials as,

$$\begin{array}{rcl} L_1(p_1) &=& \frac{1}{3}p_1,\\ L_2(p_1,p_2) &=& \frac{1}{45}(7p_2-p_1^2),\\ L_3(p_1,p_2,p_3) &=& \frac{1}{945}(62p_3-13p_1p_2+2p_3),\\ \vdots &=& \vdots \end{array}$$

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## Definition

The **Todd-genus** is the genus which has multiplicative seguences corresponding to the power series  $f(t) = \frac{t}{1-e^{-t}}$ .

## Definition

The  $\hat{a}$  genus is the genus which has multiplicative seguences corresponding to the power series  $f(t) = \frac{\frac{1}{2}\sqrt{x}}{\sinh\sqrt{x}}$ .

Any genus *K* gives rise to a ring homomorphism

$$\begin{array}{cccc} \Omega_* & \longrightarrow & \mathbb{Q} \\ M & \longmapsto & K[M] \in \mathbb{Q}. \end{array}$$

Moreover this also provides an algebra homomorphism from  $\Omega_*\otimes \mathbb{Q}$  to  $\mathbb{Q}.$ 

If *M* and *N* are smooth, compact oriented manifolds, then  $K[M \times N] = K[M]K[N]$  and K[M + N] = K[M] + K[N] Any genus *K* gives rise to a ring homomorphism

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If *M* and *N* are smooth, compact oriented manifolds, then  $K[M \times N] = K[M]K[N]$  and K[M + N] = K[M] + K[N]. Defining the Signature

## The Signature

Consider a compact, oriented manifold *M* of dimension *n*. The signature  $\sigma(M)$  is defined as follows,

(i) If 
$$n \neq 4k$$
, then  $\sigma(M) = 0$ ,

(ii) If n = 4k, then  $\sigma(M)$  is the signature of the intersection form

$$\sigma(\boldsymbol{M}) = \sigma(\boldsymbol{H}^{2k}(\boldsymbol{M}; \mathbb{R}), \lambda)$$

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...but what is an intersection form?

A symmetric form  $(K, \lambda)$  over  $\mathbb{R}$  is a finite-dimensional real vector space *K* together with a bilinear pairing

$$egin{array}{cccc} \lambda : & {\cal K} imes {\cal K} & \longrightarrow & {\mathbb R} \ & ({m x}, {m y}) & \longmapsto & \lambda({m x}, {m y}) \end{array}$$

The **intersection form** of a closed oriented 4k-dimensional manifold  $M^{4k}$  is the nonsingular symmetric form over  $\mathbb{R}$ ,  $(H^{2k}(M; \mathbb{R}), \lambda)$  with

$$\begin{array}{rccc} \lambda: & H^{2k}(M;\mathbb{R}) & \times & H^{2k}(M;\mathbb{R}) & \longrightarrow & \mathbb{R} \\ & (x & , & y \end{array} ) & \longmapsto & \lambda(x,y) = \langle x \cup y, [M] \rangle \end{array}$$

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$$\sigma(\boldsymbol{M}) = \sigma(\boldsymbol{H}^{2k}(\boldsymbol{M};\mathbb{R}),\lambda)$$

- Choose an appropriate basis a<sub>1</sub>,..., a<sub>r</sub> for H<sup>2r</sup>(M<sup>4k</sup>; ℚ) so that the matrix with entries (a<sub>i</sub> ∪ a<sub>j</sub>, [M]) is diagonal,
- then σ(M) can be found by subtracting the number of negative entries from the number of positive entries.

Properties of the Signature

## Properties of the Signature

The signature satisfies the following properties,

(i) 
$$\sigma(M+N) = \sigma(M) + \sigma(N)$$
,

(ii) 
$$\sigma(\mathbf{M} \times \mathbf{N}) = \sigma(\mathbf{M})\sigma(\mathbf{N}),$$

(iii) If *M* is an (oriented) boundary, this implies that  $\sigma(M) = 0$ .

Let M and N be two closed, oriented, cobordant 4k-dimensional manifolds and W be an oriented cobordism between them. Then the signature is an oriented cobordism invariant, where,

 $\sigma(\boldsymbol{M}) = \sigma(\boldsymbol{N}).$ 

The signature defines homomorphisms on the 4*k*-dimensional oriented cobordism groups,

$$\begin{array}{rccc} \sigma : & \Omega_{4k} & \longrightarrow & \mathbb{Z} \\ & & [M] & \longmapsto & \sigma(M). \end{array}$$

The signature also induces an algebra homomorphism  $\Omega_*\otimes \mathbb{Q}$  to  $\mathbb{Q}.$ 

Let M and N be two closed, oriented, cobordant 4k-dimensional manifolds and W be an oriented cobordism between them. Then the signature is an oriented cobordism invariant, where,

 $\sigma(\boldsymbol{M}) = \sigma(\boldsymbol{N}).$ 

The signature defines homomorphisms on the 4k-dimensional oriented cobordism groups,

$$\sigma: \Omega_{4k} \longrightarrow \mathbb{Z} \ [M] \longmapsto \sigma(M).$$

The signature also induces an algebra homomorphism  $\Omega_* \otimes \mathbb{Q}$  to  $\mathbb{Q}$ .

# Hirzebruch Signature Theorem

The Signature Theorem

Recall the power series,

 $f(t) = \sqrt{t}/\tanh\sqrt{t}$ 

 $= 1 + \frac{1}{3}t - \frac{1}{45}t^2 + \frac{1}{945}t^3 - \dots + (-1)^{k-1}2^{2k} \frac{B_k}{B_k}t^k / (2k)! + \dots$ 

where  $B_k$  is the *k*-th Bernoulli number.

Let  $L_k(p_1, ..., p_k)$  be the multiplicative sequence of polynomials belonging to this power series.

#### The Signature Theorem

The signature is equal to the L-genus  $L[M^{4k}] = \langle L_k(p_1, \dots, p_k), [M^{4k}] \rangle$ 

$$\sigma(M^{4k}) = L[M^{4k}].$$

Carmen Rovi (University of Edinburgh)

# Hirzebruch Signature Theorem

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## The Signature Theorem

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Key idea for the proof:

- *M* → *L*(*M*) and *M* → *σ*(*M*) give rise to algebra homomorphisms Ω<sub>\*</sub> ⊗ Q → Q,
- We only need to check that it holds on generators of  $\Omega_* \otimes \mathbb{Q}$ .

$$\sigma(\mathbb{C}P^{2k}) = L[\mathbb{C}P^{2k}].$$
 (1)

Determine the signature of  $\mathbb{C}P^n \times \mathbb{C}P^n$  for any  $n \in \mathbb{N}$ 

Show that  $\sigma(M \# N) = \sigma(M) \# \sigma(N)$ 

Determine whether the 8 manifolds  $\mathbb{C}P^2\times\mathbb{C}P^2$  and  $\mathbb{C}P^4$  are cobordant.

Show that  $\mathbb{C}P^n \times \mathbb{C}P^m$  and  $\mathbb{C}P^{n+m}$  are linearly independent elements of the corresponding oriented cobordism group, when *n* and *m* are even.

# Thank you for listening!

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