

① Intro

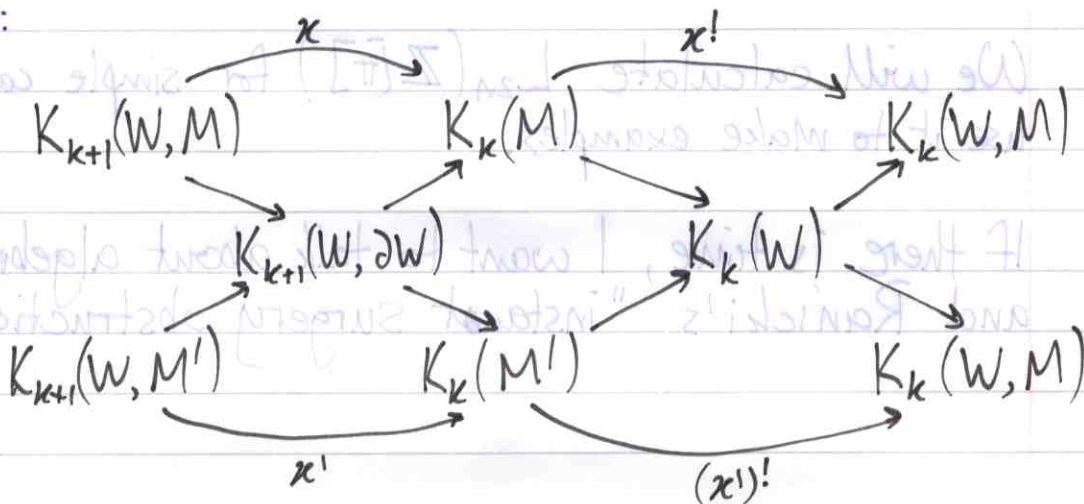
We have seen that given a deg 1 normal map, ~~that~~ by a finite sequence of surgeries we can make the map n -connected, where $2n \leq m = \text{dimension of the map}$.

∴ WLOG we start with

$$(f, b): M^m \longrightarrow X^m$$

n -connected
deg 1 normal map
for $m = 2n$ or $2n+1$.

We now wish to do surgery to kill elements $x \in \pi_{n+1}(f)$. We know that IF we can kill $x \in \pi_{n+1}(f)$ with effect M' , we have:



where if $k = n$:

$$x: \mathbb{Z}[\pi] \longrightarrow K_n(M)$$

$$1 \longmapsto x$$

$$x': y \longmapsto \lambda(x, y)$$

We will consider the case $m = 2n$ and show the following:

(1) The obstruction to making (f, b) $(n+1)$ -connected is weaker than $\mu(x) = 0 \quad \forall x \in \pi_{n+1}(f)$. It is that $K_n(M)$ is "stably isomorphic" to a sum of hyperbolics.

(2) This obstruction lives in the group $Q_{(-1)}^n(\mathbb{Z}[\pi])$ considered up to algebraic cobordism and only on f.g. free-modules:

$$\sigma_*(f, b) \in L_{2n}(\mathbb{Z}[\pi])$$

"even dim surgery obstruction" grp

(sometimes written $L_{2n}(\pi)$ where the group ring is understood). It is the ONLY obstruction

We will calculate $L_{2n}(\mathbb{Z}[\pi])$ for simple cases and use it to make examples.

If there is time, I want to talk about algebraic L-theory and Ranicki's "instant surgery obstruction".

$$\begin{aligned}
 K_{n-1}(M') &= \text{coker } x' & \textcircled{1} \\
 K_n(M') &= \text{ker } x' / \text{im } x & \textcircled{2} \\
 K_{n+1}(M') &= \text{ker } x & \textcircled{3}
 \end{aligned}$$

(and other kernels unchanged).

(d.7) We want to make all of these vanish.

(iii) $\langle x_1, x_2, \dots, x_e \rangle = \langle x \rangle =: L$ is a sublagrangian of $(K_n(M), \lambda, \mu)$

$$\Leftrightarrow K_{n-1}(M') = K_{n+1}(M) = 0.$$

(iv) If L is a sublagrangian then L is a Lagrangian

$$\Leftrightarrow K_n(M') = 0$$

$\Leftrightarrow f'$ a htpy equivalence

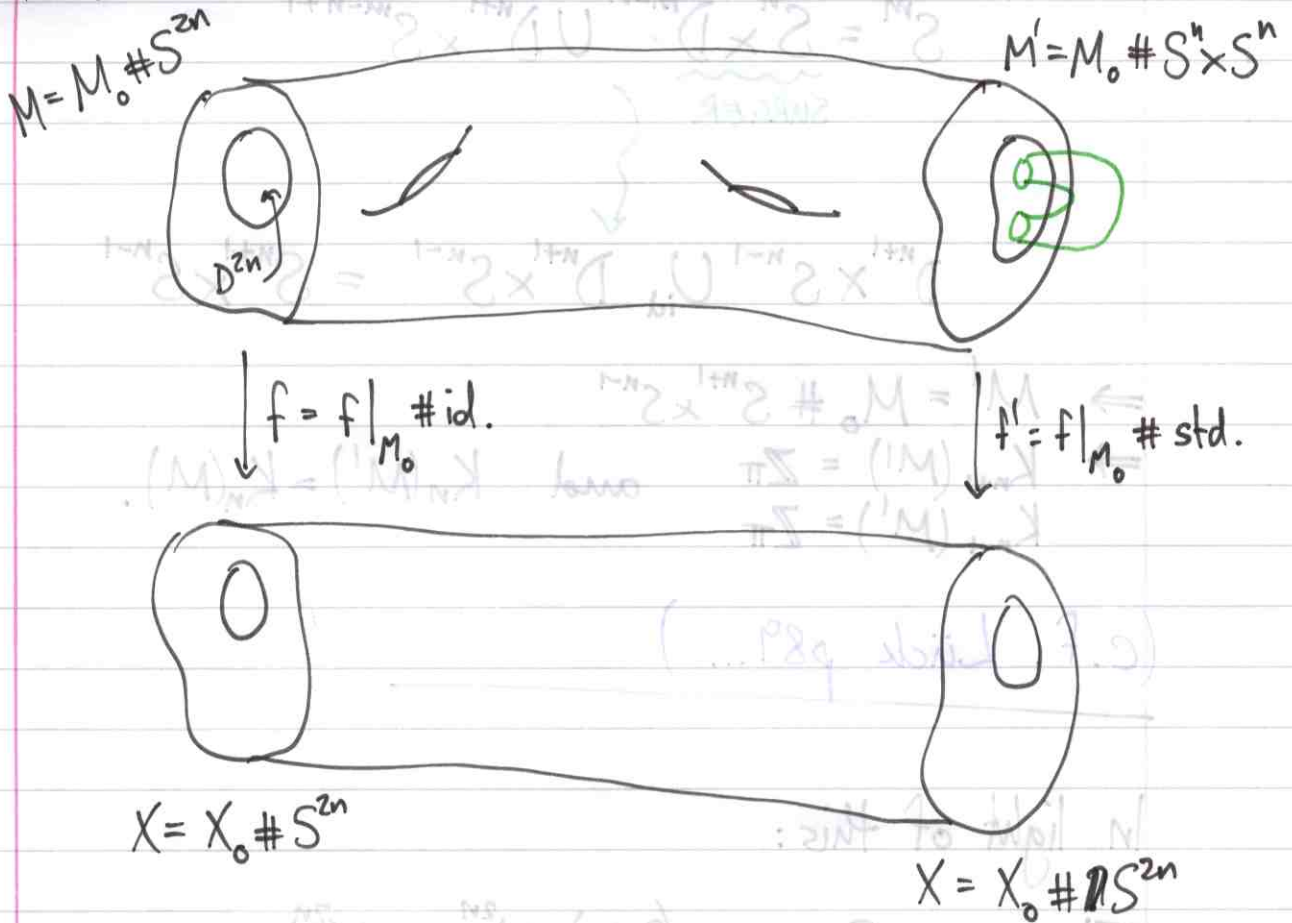
So Wall's embedding theorem is not enough. To control the connectivity of the effect we require an algebraic condition on $\langle x \rangle \subset K_n(M)$.

Examples We can always kill $0 \in K_i(M)$, for any i . It is interesting to consider $i=n, n-1$.

$$\begin{array}{ccc}
 \boxed{i=n-1} \text{ Looking at } & S^{n-1} \xrightarrow{g} M & \\
 & \downarrow & \downarrow f = \phi \\
 & D^n \xrightarrow{h} X &
 \end{array}$$

$\phi = 0 \Rightarrow g$ htpically trivial \Rightarrow take it to happen inside a small disk.

By Poincaré disk th'm can take D^n to be in a small disk too:



TO SEE THIS

As the surgery happens inside S^{2n} it reduces to the standard example

$$S^m = \partial(D^{n+1} \times D^{m-n}) = S^n \times D^{m-n} \cup D^{n+1} \times S^{m-n-1}$$

SURGERY THIS

Surgery

$$S^n \times D^n \cup_{\text{id}} S^n \times S^n = S^n \times S^n$$

the surgery kernel
module of
 $S^n \times S^n \rightarrow S^{2n}$

$\rightarrow K_{n+1}(M') = K_{n+1}(M) = 0$

and $K_n(M') = K_n(M_0 \# S^n \times S^n) = K_n(M) \oplus H_{(n)}^n(\mathbb{Z}\pi)$

$i=n$ Same again!

$$S^m = S^n \times D^{m-n} \cup D^{n+1} \times S^{m-n-1}$$

SURGER

$$D^{n+1} \times S^{n-1} \cup_{\text{id}} D^{n+1} \times S^{n-1} = S^{n+1} \times S^{n-1}$$

$$\Rightarrow M' = M_0 \# S^{n+1} \times S^{n-1}$$

$$\Rightarrow K_{n+1}(M') = \mathbb{Z}\pi \quad \text{and} \quad K_n(M') = K_n(M).$$

$$K_{n-1}(M') = \mathbb{Z}\pi$$

(c.f. Lück p89...)

In light of this:

Theorem Suppose $(f, b): M^{2n} \rightarrow X^{2n}$ an n -conn, deg 1 normal map and $\exists k, \ell$ and an iso of forms:

$$(K_n(M), \lambda, \mu) \oplus H_{(-1), n}(\mathbb{Z}\pi^k) \cong H_{(-1), n}(\mathbb{Z}\pi^\ell) \quad (*)$$

then (f, b) is deg 1 normal bordant to a htpy equivalence.

Proof Introduce the $H_{(-1), n}(\mathbb{Z}\pi^k)$ by performing k $(n-1)$ -surgeries on $O \in \pi_n(f)$. This gives $(f', b'): M' \rightarrow X$ n -conn with

$$(K_n(M'), \lambda', \mu') \cong H(\mathbb{Z}\pi^\ell)$$

perform ℓ n -surgeries on x_1, \dots, x_ℓ generating the lagrangian.

□

Example I will explain why a non-trivial example of this is difficult.

What would an example require?

- An n -connected map $(f, b): M^{2n} \rightarrow X^{2n}$

For simplicity's sake we might try to take X to be a homology sphere so from the LES

$$0 \rightarrow \pi_{k+1}(f) \xrightarrow{\cong} \pi_k(M) \rightarrow 0$$

and hence $K_n(M) \cong H_n(M)$. So we would want...

- A manifold M^{2n} with homology vanishing outside the middle, top and bottom dimensions.
- An intersection pairing on $H_n(M) = K$ s.t.

$$(K, \lambda, \mu) \oplus H_{k+1}(\mathbb{Z}^k) \cong H_{(-1)}(\mathbb{Z}^k)$$

TRIVIAL EXAMPLES:

$$M = \Sigma^{2n} \leftarrow \text{a homology sphere}$$

$$M = \#_k S^n \times S^n$$

$$M = \Sigma^{2n} \# \#_k S^n \times S^n$$

BUT there are no forms (K, λ, μ) of this form over \mathbb{Z} that are not hyperbolic already!

We will return to this later.

ASIDE (Lengthy detour to remember the original motivation)

Recall one of original questions was

(Q) If $N \simeq N'$ htpc, is $N \cong_{\text{diff}} N'$?

Taking some results about manifolds with boundary on faith, we can now partially answer this!

(A) Let $g: N^{2n-1} \xrightarrow{\simeq} (N')^{2n-1}$ for $n \geq 3$.

g determines a trace CW-cx $(X, \partial X)$ that is a geometric Poincaré cx with $\partial X = N \sqcup \bar{N}'$.

We want to improve $(X, \partial X)$ to be a manifold because then it is an h-cobordism we would have $N \cong_{\text{diff}} N'$.

We need:

(i) A vector bundle reduction of the Spivak normal fibration

$$\begin{array}{ccc} \mathcal{N}_X: X/\partial X & \rightarrow & BG \rightarrow B(\mathbb{Z}/2) \\ & \searrow & \uparrow \\ & & \text{triv} \end{array}$$

EQUIV: A deg 1 normal map $(f, b): (M, \partial M) \rightarrow (X, \partial X)$ which is already a htpy equiv on ∂ .

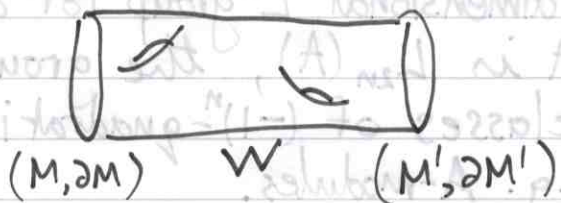
We can do surgery to make (f, b) n -connected. Hence we also need:

(ii) $\exists k, l$ s.t.

② L-Theory

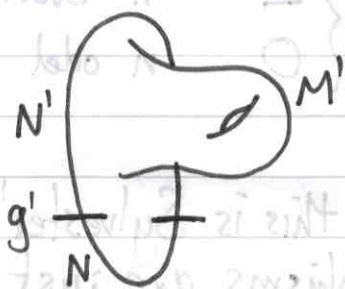
$$(K_n(M, \partial M), \lambda, \mu) \oplus H_{k,l}(\mathbb{Z}\pi^k) \cong H_{k,l}(\mathbb{Z}\pi^l)$$

In which case we can surger (f, b) to a htpy equiv rel ∂ .
We have "improved f to an h-cobordism".



W a manifold with corners.

$$\partial M' = N \cup N'$$



an h-cobordism.

Examples

$$A = \mathbb{R}$$

$$A = \Sigma$$

Proof The symmetric map

$$\Sigma = (\Sigma^+ \oplus \Sigma^-) \leftarrow \Sigma : \Sigma \rightarrow \Sigma$$

is an injection. Hence $(+)$ -quadratic forms over Σ correspond to even elements of $Q^+(\Sigma) = \Sigma$. These are alternatively characterized as X s.t. $\forall x, x) \equiv 0 \pmod{\Sigma} \forall x$.

$$\text{Claim 1 } \sigma(X) = 0 \text{ iff } (K, \lambda) \cong \bigoplus_x H$$

Claim 2 $\sigma(X)$ divisible by 8.

② L-Theory

To calculate the surgery obstruction and show we really have found the whole obstruction we need a better algebraic setting.

Def'n The even-dimensional L-group for a ring with involution A is $L_{2n}(A)$, the group of algebraic cobordism classes of $(-1)^n$ -quadratic forms on free, f.g. A -modules.

Examples

$$\boxed{A = \mathbb{R}}$$

$$L_{2n}(\mathbb{R}) \cong \begin{cases} \mathbb{Z} & n \text{ even} \\ 0 & n \text{ odd} \end{cases}$$

Proof Essentially this is Sylvester's Law of Inertia. The isomorphisms are just the signature. \square

$$\boxed{A = \mathbb{Z}}$$

$$L_{2n}(\mathbb{Z}) \cong \begin{cases} \mathbb{Z} & n \text{ even} \\ \mathbb{Z}_2 & n \text{ odd} \end{cases}$$

Proof The symmetrisation map

$$1+T=2: Q_+(\mathbb{Z}) \rightarrow Q^+(\mathbb{Z}) = \mathbb{Z}$$

is an injection. Hence $(+)$ -quadratic forms over \mathbb{Z} correspond to even elements of $Q^+(\mathbb{Z}) = \mathbb{Z}$. These are alternatively characterised as λ s.t. $\lambda(x, x) \equiv 0 \pmod{2} \forall x$.

Claim 1 $\sigma(\lambda) = 0$ iff $(K, \lambda) \cong \bigoplus_k H$

Claim 2 $\sigma(\lambda)$ divisible by 8.

I will say slightly more than Andrew's book but won't prove it all.

For Claim 1:

Let $x \in (K)^\times$ st. $\lambda(x, x) = 0$. WLOG x is indivisible. i.e. for $\beta \in \mathbb{Z}$
 $x = \beta x'$
 $\Rightarrow \beta = \pm 1$

The existence of such an x is highly non-trivial and requires some algebraic geometry. See Milnor-Husemoller Lemma 4.1, "Meyer's th'm" 3.2, and Theorem 2.2.

λ is nonsingular over $\mathbb{Z} \Rightarrow \exists y \in K$ st. $\lambda(x, y) = 1$
Now $\lambda(y, y) = 2a$, some $a \in \mathbb{Z}$. \Rightarrow take basis $\{x, y - ax\}$
for $\text{span}\langle x, y \rangle$.

$$\Rightarrow K = \text{span}\langle x, y \rangle \oplus \text{span}\langle x, y \rangle^\perp$$

with $\lambda|_{\langle x, y \rangle} = \begin{pmatrix} 0 & 1 \\ (-1)^n & 0 \end{pmatrix}$.

Repeat. □

For Claim 2:

Define a characteristic element $x \in K$ to be an element st.

$$\lambda(x, y) \equiv \lambda(y, y) \pmod{2} \quad \forall y \in K.$$

$x \in (K, \lambda)$ characteristic $\Rightarrow x + e_+ + e_- \in (K \oplus \mathbb{Z} \oplus \mathbb{Z}, \lambda \oplus \langle 1 \rangle \oplus \langle -1 \rangle)$
characteristic as

$$\begin{aligned} & \lambda'(x + e_+ + e_-, y + a + b) \\ &= \lambda(x, y) + a - b \\ &\equiv \lambda(y, y) + a - b \pmod{2} \\ &\equiv \lambda(y, y) + a^2 - b^2 \pmod{2} \quad (a^2 \equiv a) \\ &\equiv \lambda'(y + a + b, y + a + b) \pmod{2} \end{aligned}$$

Theorem Indefinite nonsingular forms λ_1, λ_2 are equivalent iff they have same rank signature + parity.

Proof Not much more work to show this. See Theorem 1.2.14 Group □
signature

$$\Rightarrow (K \oplus \mathbb{Z} \oplus \mathbb{Z}, \lambda') \sim (\mathbb{Z}^{b_2^+ + 1} \oplus \mathbb{Z}^{b_2^- + 1}, (b_2^+ + 1)\langle 1 \rangle \oplus (b_2^- + 1)\langle 2 \rangle)$$

and in this new basis, every characteristic element has odd components i.e. $x + e_1 + e_2 \sim (w, z)$ for w, z odd. Now an odd number is s.t.

$$\omega^2 \equiv 1 \pmod{8}$$

Hence:

$$\begin{aligned} \lambda(x, x) &= (x, x) + 1 - 1 \\ &= \lambda(x + e_1 + e_2, x + e_1 + e_2) \\ &= \omega^2(b_2^+ + 1) - z^2(b_2^- + 1) \\ &\equiv b_2^+ - b_2^- \\ &\equiv \sigma(\lambda) \pmod{8} \end{aligned}$$

But (K, λ) even $\Rightarrow 0$ is characteristic $\Rightarrow \sigma(\lambda) \equiv 0 \pmod{8}$. □

So we have a well defined homo:

$$\sigma: L_0(\mathbb{Z}) \longrightarrow 8\mathbb{Z} \subset \mathbb{Z}$$

The E_8 form has $\sigma(E_8) = 8 \Rightarrow \sigma/8$ is an iso.

Now (-1) -quadratic forms!

Firstly via the homo $\mathbb{Z} \rightarrow \mathbb{Z}_2$ it is equivalent to consider forms over \mathbb{Z}_2 . This is partly justified by this:

Claim: 2 split quadratic forms over $\mathbb{Z} \oplus \mathbb{Z}$

$$\psi: (a,b) \mapsto \begin{pmatrix} a & 1 \\ 0 & b \end{pmatrix} \quad \psi': (a,b) \mapsto \begin{pmatrix} a+2i & 1 \\ 0 & b+2j \end{pmatrix}$$

are equiv $\forall i, j$.

Proof $\psi \sim \psi'$ iff $\exists \chi: K \rightarrow K^*$ st. $\psi - \psi' = \chi - \epsilon \chi$

Take $\chi = \begin{pmatrix} i & 0 \\ 0 & j \end{pmatrix}$. □

Similarly for larger modules.

$$\Rightarrow Q_{(-1)}(\mathbb{Z}) \rightarrow Q_{(-1)}(\mathbb{Z}_2) \text{ an iso.}$$

Now we can always choose a symplectic basis for $(\mathbb{Z}_2^{2m}, \lambda, \mu)$ call it $\{x_1, y_1, x_2, y_2, \dots, x_m, y_m\}$

Def'n $\text{Arf}(K, \lambda, \mu) := \sum \mu(x_i) \mu(y_i)$

Consider $(\mathbb{Z}_2 \oplus \mathbb{Z}_2, \lambda)$ where

$$\lambda((a,b), (c,d)) = ad - bc$$

The Arf invariant captures the information that there are precisely \mathbb{Z} quadratic extension to this form

- $\mu_1((a,b)) := a + b + bc$
- $\mu_2((a,b)) := ab$

characterised by

- $\mu_1(x_i) = \mu_1(y_i) = 1$
- $\mu_2(x_i) = \mu_2(y_i) = 0$

Show for yourself these give the same sym form

Restrict to \mathbb{Z}_2
(note sym and anti-sym now same)

Note μ_1 is not equiv to μ_2 and μ_2 is hyperbolic.

The classification follows from this and noting that

$$\mu_1 \oplus \mu_1 = \mu_2 \oplus \mu_2$$

i.e. Arf measures whether we have an odd or even # of copies of μ_1 in a form.

□

Theorem The surgery obstruction of an n -conn $2n$ -dim, deg 1, normal map $(f, b): M^{2n} \rightarrow X^{2n}$ is the class

$$\sigma_*(f, b) := [K_n(M), \lambda, \mu] \in L_{2n}(\mathbb{Z}\pi_1(X))$$

~~an is a normal bordism and vanishes iff (f, b) is normal bordant to a htop equiv.~~

Proof ~~well defined~~ We have seen (\Rightarrow) already.

(\Leftarrow) We show ~~$\sigma_*(f, b) = \sigma_*(f', b')$~~

~~$(f, b), (f', b')$ deg 1 normal bordant $\Rightarrow \sigma_*(f, b) = \sigma_*(f', b')$.~~

Example

Recall my attempts from earlier. We can now see that over \mathbb{Z} , if $(K, \lambda) \oplus \mathbb{Z}H \cong \mathbb{Z}H$ then (K, λ) hyp

$(K, \lambda, \mu) \oplus \mathbb{Z}H \cong \mathbb{Z}H$ as Arf + signature unaffected by stabilisation.

For $(f, b): M^{2n} \rightarrow X^{2n}$ n -conn deg 1 normal and K_n f.g. free

Proposition The class $[(K_n, \lambda, \mu)] \in L_{2n}(Z\pi, X)$ is a deg 1 normal bordism invariant.

Note that K_n is only stably free but we shall see that in general.

to make it in L_{2n}

Proof Let $(f, b), (f', b')$ be deg 1 normal bordant via W

Consider $(F, B): (W, \partial W) \rightarrow (X, \partial X)$ a $2n+1$ dim, deg 1 normal map

With surgeries on the interior of W , this relative ∂ map is rel ∂ bordant to an n -connected bordism (with same boundary). So there exists an n -conn cobord between $(f, b), (f', b')$. WLOH ~~is a conn~~. (F, B) is n -conn as well.

Consider the LES $\# M \cong (\mathbb{Z} \times \mathbb{Z}) \# M \leftarrow$

$$\rightarrow K_{i+1}(W, M) \rightarrow K_i(M) \rightarrow K_i(W) \rightarrow$$

Connectivity of M, W give

$$0 \rightarrow K_n(W) \rightarrow K_{n+1}(W, M) \rightarrow K_n(M) \rightarrow K_n(W) \rightarrow K_n(W, M) \rightarrow 0$$

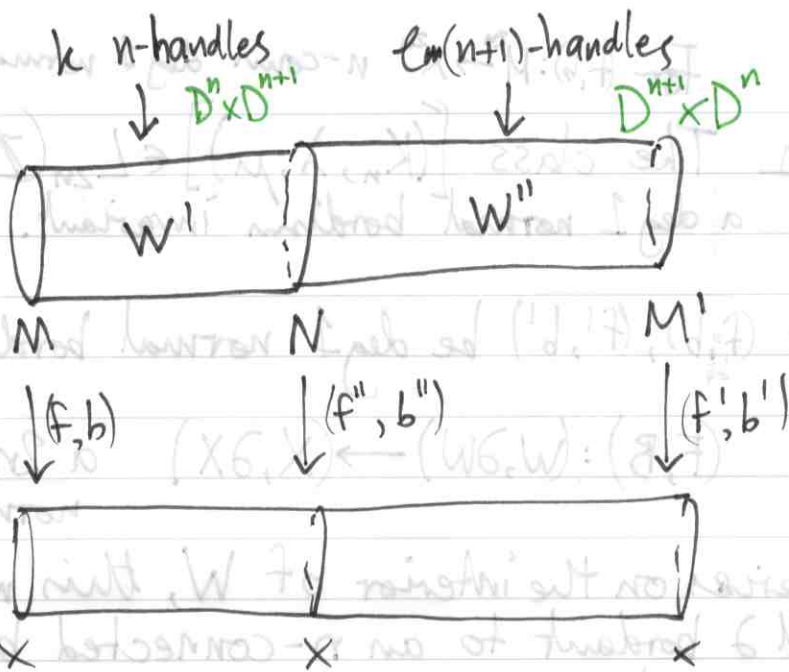
and as $H_i(X \times I, X) = 0$ $i \neq 0$ we have LES for (F, f)

$$\rightarrow 0 \rightarrow K_i(W, M) \xrightarrow{\cong} H_i(W, M) \rightarrow 0 \rightarrow \dots$$

$$\Rightarrow H_i(W, M) = 0 \text{ for } i \neq n, n+1.$$

Similarly $H_i(W, M') = 0$ for $i \neq n, n+1$.

This shows the cobordism decomposes as a handlebody with only n - and $(n+1)$ -handles, arrange these in ascending order:



For some intermediate N^{2n}

We can view N as both k $(n-1)$ -surgeries on M and l $(n-1)$ -surgeries on M'

$$\Rightarrow M \# \left(\#_k S^n \times S^n \right) \cong M' \# \left(\#_l S^n \times S^n \right)$$

$$\Rightarrow (K_n(M), \lambda, \mu) = (K_n(M'), \lambda', \mu') \in L_{2n}(\mathbb{Z}\pi_1(X))$$

(well) □

- Corollaries
- We can define $\lambda [K_n, \lambda, \mu]$ for stably f.g. free K_n by stabilising via $(n-1)$ -surgeries.
 - We can define an element of the L -group ~~for non~~ without insisting n -connected as the surgeries to kill handles below middle dim are deg \neq normal bordism. Call this $\sigma_*(f, b)$

~~Theorem~~ For $(f, b): M^{2n} \rightarrow X^{2n}$ a deg \neq , normal map

$$\sigma_*(f, b) = 0 \in L$$

~~The map~~

Recall the normal structure set $\mathcal{J}(X)$ is the set of vector bundle reductions of SNF of X and that is in natural 1:1 correspondence with normal bordism classes of deg 1 maps $(f, b): M \rightarrow X$. We have shown normal!

Theorem There is a well defined function

$$\sigma_*: \mathcal{J}(X^{2n}) \longrightarrow L_{2n}(\mathbb{Z}\pi_1(X))$$

such that $\sigma_*(x)$ vanishes iff x can be represented by (f, b) a htpy equivalence.

□

We have also now proven

Theorem (Browder '82)

$2n$ -dim GPC X for $n \geq 3$ with $\pi_1(X) = 0$ is htpc to a $2n$ -dim manifold iff

(i) $\mathcal{J}(X)$ non-empty i.e. $\exists (f, b): M^{2n} \rightarrow X^{2n}$
and (ii)

(a) n even: $\text{signature}(H_n^{(M)}, \lambda) = \text{signature}(H_n(X), \lambda)$

(b) n odd: $\text{ArF}(K_{2k+1}(M; \mathbb{Z}_2), \lambda, \mu) = 0$

Proof (a) needs a little explanation

By connectivity (WLOG) we have an SES of $\mathbb{Z}\pi = \mathbb{Z}$ -modules:

$$0 \rightarrow K_n(M) \rightarrow H_n(M) \rightarrow H_n(X) \rightarrow 0$$

If we tensor with \mathbb{R} , this sequence splits as

$$H_n(M) \otimes \mathbb{R} \cong (H_n(X) \otimes \mathbb{R}) \oplus (K_n(M) \otimes \mathbb{R})$$

and so $\text{sig}(M) = \text{sig } X + \text{sig } K_n(M)$

Vanishes \Leftrightarrow htpy equiv.

Example Recall a framing for M^{2n} is $M \hookrightarrow S^{2n+k}$ and a framing $b: \nu_M \xrightarrow{\cong} \mathbb{R}^k$ of the normal bundle.

This determines a deg 1 normal map to $X = S^m = M/\text{cl}(M \setminus D^{2n})$

i.e. collapse M to the boundary of a disk in M

Then $f: M \rightarrow S^m$ pulls back

$$\varepsilon^\infty: S^m \rightarrow BO \text{ to}$$

$$b: f^* \varepsilon^\infty \xrightarrow{\cong} \nu_M$$

Hence we have morphisms

$$\sigma_*^{\text{fr}}: \Omega_{2n}^{\text{fr}} \rightarrow L_{2n}(\mathbb{Z})$$

For n even $\sigma_*^{\text{fr}}(K_n(M)) = 0 \Leftrightarrow \sigma(M) = 0$ (as $\sigma(S^{2n}) = 0$)

For n odd define the Kervaire invariant of (M, b) to

$$\sigma_*^{\text{fr}}(M, b) \in \mathbb{Z}_2$$

the Arf invariant of $(H_n(M; \mathbb{Z}_2); \chi, \mu)$.

T.e. σ_*^{fr} surjective

For $n = 1, 3, 7, 15, 31$ can write down Kervaire inv $1(M, b)$

For $n = 63$ (2009) Still open

o/w Hill, Hopkins, Ravel showed $\#$ Kervaire invariant $1(M, b)$.